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PTO/SB/05 (12/98)  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<p align="center"><b>UTILITY</b></p> <p align="center"><b>PATENT APPLICATION</b></p> <p align="center"><b>TRANSMITTAL</b></p> <p align="center"><small>(Only for new nonprovisional applications under CFR 1.53(b))</small></p>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Attorney Docket No.</td> <td style="width: 50%;">VIANAN P04GUSP1</td> </tr> <tr> <td colspan="2">First Named Inventor or Appln Identified: <b>Todd E. LIZOTTE and Orest OHAR</b></td> </tr> <tr> <td colspan="2">Title: <b>METHOD AND APPARATUS FOR READING FIREARM MICROSTAMPING</b></td> </tr> <tr> <td>Express Mail Label No.</td> <td>EV330995159US</td> </tr> </table>	Attorney Docket No.	VIANAN P04GUSP1	First Named Inventor or Appln Identified: <b>Todd E. LIZOTTE and Orest OHAR</b>		Title: <b>METHOD AND APPARATUS FOR READING FIREARM MICROSTAMPING</b>		Express Mail Label No.	EV330995159US
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Title: <b>METHOD AND APPARATUS FOR READING FIREARM MICROSTAMPING</b>									
Express Mail Label No.	EV330995159US								
<p align="center"><b>APPLICATION ELEMENTS</b></p> <p align="center"><small>See MPEP chapter 600 concerning utility patent appln. contents.</small></p>									
<p><b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application P. O. Box 1450 Alexandria, VA 22313-1450</p>									
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status <i>(see 37 CFR 1.27.)</i></p> <p>3. <input checked="" type="checkbox"/> Specification (Total Pages) [68] <i>(preferred arrangement set forth below)</i></p> <ul style="list-style-type: none"> <li>• Descriptive title of the invention</li> <li>• Cross References to Related Applications</li> <li>• Statement Regarding Fed sponsored R &amp; D</li> <li>• Reference to Microfiche Appendix</li> <li>• Background of the invention</li> <li>• Brief Summary of the invention</li> </ul> <p>• Brief Description of the Drawings <i>(if filed)</i></p> <ul style="list-style-type: none"> <li>• Detailed Description</li> <li>• Claim(s)</li> <li>• Abstract of the Disclosure</li> </ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Total Pages) [19]</p> <p>4a. <input checked="" type="checkbox"/> Submission of Formal Drawings (Total Pages) [11]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration (Total Pages) [4]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input checked="" type="checkbox"/> Copy from a prior application <small>(37 CFR 1.63(d))</small> <i>(for continuation/divisional with Box 17 completed)</i></p> <p>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p>	<p>6. <input type="checkbox"/> Application Data Sheet (see 37 CFR).</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program <i>(Appendix)</i></p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. <input type="checkbox"/> Specification Sequence Listing on:</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p>								
<p align="center"><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i></p> <p>16. <input checked="" type="checkbox"/> Other: Express Mail Certificate</p>									
<p>17. If a <b>CONTINUING APPLICATION</b>, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input checked="" type="checkbox"/> Continuation-in-Part (CIP) of prior application No.: <u>10/427,513</u></p> <p>Prior application information: Examiner _____ Group/Art Unit: _____</p> <p>For <b>CONTINUATION OR DIVISIONAL APPS</b> only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>									
<p align="center"><b>18. CORRESPONDENCE ADDRESS</b></p>									
<table border="0" style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> <p><input checked="" type="checkbox"/> Customer Number: <b>020210</b></p> <p>Name: <b>DAVIS &amp; BUJOLD, P.L.L.C.</b> 500 North Commercial Street - 4th floor Manchester, NH 03101-1151 United States of America</p> <p>Name: <b>Gary D. Clapp</b></p> <p>Signature: </p> </td> <td style="width: 50%; vertical-align: top;"> <p align="center"><small>PATENT &amp; TRADEMARK OFFICE</small></p> <p align="center"></p> <p align="center"><b>020210</b></p> <p><input type="checkbox"/> Correspondence address below</p> <p>Telephone: 603/624-9220 Telefax: 603/624-9229 E-Mail: <a href="mailto:patent@davisandbujold.com">patent@davisandbujold.com</a></p> <p>Registration No.: <b>29,055</b></p> <p>Date: <b>July 18, 2003</b></p> </td> </tr> </table>		<p><input checked="" type="checkbox"/> Customer Number: <b>020210</b></p> <p>Name: <b>DAVIS &amp; BUJOLD, P.L.L.C.</b> 500 North Commercial Street - 4th floor Manchester, NH 03101-1151 United States of America</p> <p>Name: <b>Gary D. Clapp</b></p> <p>Signature: </p>	<p align="center"><small>PATENT &amp; TRADEMARK OFFICE</small></p> <p align="center"></p> <p align="center"><b>020210</b></p> <p><input type="checkbox"/> Correspondence address below</p> <p>Telephone: 603/624-9220 Telefax: 603/624-9229 E-Mail: <a href="mailto:patent@davisandbujold.com">patent@davisandbujold.com</a></p> <p>Registration No.: <b>29,055</b></p> <p>Date: <b>July 18, 2003</b></p>						
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03640 U.S. PTO  
10/62226

07/18/03

## FEE TRANSMITTAL

for FY 2003

Patent fees are subject to annual revision

- ☐ Applicant claims small entity status.  
 See 37 CFR 1.27

## Complete if Known

Application No.  
 Filing Date  
 First Named Inventor  
 Examiner Name  
 Group Art Unit

Todd E. LIOTTE

TOTAL AMOUNT OF PAYMENT: \$901

Attorney Docket No.

VIANAN P04GUSP1

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

Deposit Account:

Deposit Account Number: 04-0213

Deposit Account Name: DAVIS &amp; BUJOLD, P.L.L.C.

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified account.

## FEE CALCULATION

## 1. FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1001	750	2001	375	Utility filing fee	\$375
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Release filing fee	
1005	150	2005	90	Provision filing fee	
SUBTOTAL (1)					\$375

## 2. CLAIMS

			Extra	Fee From Below	Fee Paid
Total Claims	46-20*	= 26	\$18 (\$9)	x	= \$234
Ind. Claims	9-3	= 6	\$84 (\$42)	x	= \$252
Multi-Ind. Claims		=	\$280 (\$140)	x	=

\*\* or number previously paid. If greater; For Releases, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim
1204	84	2204	42	**Release independent claims over original patent
1205	18	2205	9	**Release claims in excess of 20 and over original patent

SUBTOTAL (2)

\$489

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge-late filing fee/each	
1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet	
1053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for re-examination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Ext. for reply w/in 1 mon	
1252	410	2252	205	Ext. for reply w/in 2 mon	
1253	930	2253	465	Ext. for reply w/in 3 mon	
1254	1,450	2254	725	Ext. for reply w/in 4 mon	
1255	1,970	2255	985	Ext. for reply w/in 5 mon	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a Brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to Institute a public use proceeding	
1452	110	2452	55	Petition to revive - unevitable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (for release)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petition related to provisional apps.	
1806	180	1806	180	Submission of Info. Disclo. Stmt.	
8021	40	8021	40	Recording re. patent assignment per property (times No. of properties)	\$40
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For ex. additional invention to be examined (37 CFR 1.129(b))	
1801	750	2801	375	Request for Cont Exam. (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

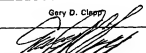
\*\* or number previously paid. If greater; For Releases, see above

Other fee (specify)  
 \* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40

## SUBMITTED BY

## Completed (if applicable)

Typed or Printed Name	Gary D. Claret	Registration Number	29,065	Telephone No. (603) 824-9220
Signature		Date: July 18, 2003	Deposit Account User ID	04-0213

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Todd E. LIZOTTE and Orest OHAR  
For : METHOD AND APPARATUS FOR READING  
FIREARM MICROSTAMPING  
Docket : VIANAN P04GUSP1

The Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: **EV330995159US**

Date of Deposit: July 18, 2003

I hereby state that the following attached paper or fee:

**Check for \$901;**  
**Patent Application Transmittal-1 pg.;**  
**Fee Transmittal Ltr (+Dupl)-1 pg.;**  
**Specification/Claims/Abstract- 68 pgs.;**  
**Submission of Formal Drawings-1 pg.;** **Drawings - 19 pgs.;**  
**Declaration & Power of Atty- 4 pgs.;** **Assignment & Cover-2 pgs.;**  
**Information Disclosure Statement-1pg.;**  
**PTO Form 1449- 2 pgs.;**  
**Return Postcard**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10, on the date indicated above and is addressed to the Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

\_\_\_\_\_  
Gary D. Clapp

\_\_\_\_\_  
Signature of person mailing paper or fee

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